### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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# LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in several disputes with Qualcomm, Inc., including the following pending lawsuit and investigation:

- In the Matter of Certain Baseband Processor Chips and Chipsets, Transmitter and Receiver (Radio) Chips, Power Control Chips, and Products Containing Same, Including Cellular Telephone Handsets, U.S. International Trade Commission Case. No. 377-TA-543.
- Broadcom Corp. v. Qualcomm, Inc., Civil Action No. 05-468 in the Central District of California

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present application may be related to at least one of the patents currently asserted by Broadcom in the

above-mentioned lawsuit and investigation. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending lawsuit and investigation.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has twenty-nine (29) pages. Copies of each printed reference listed in the PTO/SB/08A form are attached in this electronic submission and nine additional electronic submissions (due to the number of references permitted to be submitted per electronic submission). Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Four hundred and sixty-nine (469) references are attached in ten electronic submissions.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit and/or investigation. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

A fee in the amount of \$180 is believed to be due because:

\* The applicant(s) believe(s) that this statement and enclosures are being filed after the first Office action on the merits has been mailed by the PTO. The basis of this belief is that an Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: June 3, 2006

Maun 1- Feline Shawn L. Peterson

Reg. No. 44,286

McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400

Chicago, Illinois 60661

(312) 775-8000

### EXHIBIT A

# Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S. International Trade Commission Case. No. 377-TA-543

5,682,379

6,359,872

6,374,311

6,583,675

6,714,983

Under the Paperwork Reduction act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

			Complete it	Known	
Substitute for	form 1449A/PTO		Application Number	10/631,071	
	INFORMAT	ION DISCLOS	Filing Date	July 31, 2003	
	STATEMENT BY APPLICANT			First Named Inventor	Ronald L. Mahany
	STATEMEN	II BY APPLIC	ANI	Group Art Unit	2687
				Examiner Name	M. Santiago Cordero
(use as many sheets as necessary)				Attorney Docket Number	14406US02
Sheet	1	of	29	Automey Booket Number	14400000

=	0:44	Document Number	U.S. PATENT DO	Name of Patentee or	Pages, Columns, Lines, Where
Examiner Initial*	Cite No. <sup>1</sup>	Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevan Figures Appear
	A1	4,449,248	05-15-1984	Leslie et al.	
	A2	5,027,428	06-25-1991	Ishiguro et al.	
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	A4	5,058,203	10-15-1991	Inagami, Fujio	
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	A21	6,522,871	02-18-2003	Patrick et al.	
	A22	6,583,675	06-24-2003	Gomez	
	A23	6,593,826	07-15-2003	See	
	A24	6,694,129	02-17-2004	Peterzell et al.	
	A25	6,714,983	03-30-2004	Koenck et al.	
	A26	6,731,146	05-04-2004	Gallardo	
	A27	6,819,197	11-16-2004	Maldanado	
***************************************	A28	6,823,033	11-23-2004	Fahim	
	A29	6,888,913	05-03-2005	Walker	
	A30	6,911,856	06-28-2005	Florescu	
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	A34	4,903,319	02-20-1990	Kasai et al.	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicants' unique citation designation number (optional) 'See Kinds Codes of USPTO Patent Documents at www.usplo gov or MPEP 901.04. 'Senter Office that issued the document, by the two-letter code (WIPO Standard ST 3). 'For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible "Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 197 and 198. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 114. This collection is estimated to take 2 hours to complete, including galtering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, US. Patent and Trademark Office, P.O. Box 1450 Akexandria, VA 22313-1450 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450.

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	U.S. PATENT DOCUMENTS							
Examiner Initial*	Cite No.1	Document Number  Number-Kind Code 2  (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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	A36	4,977,611	12-11-1990	Maru				
	A37	5,128,938	07-07-1992	Borras				
	A38	5,146,214	09-08-1992	Yamada et al.				
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	A40	5,224,150	06-29-1993	Neustein				
	A41	5,275,254	01-04-1994	Shiraishi, et al.				
	A42	5,680,311	10-21-1997	Trsar, et al.				
	A43	5,745,523	10-27-1992	Dent et al.				
	A44	6,359,872	03-19-2002	Mahany et al.				
	A45	6,374,311	04-16-2002	Mahany et al.				
****	A46	6,764,983	07-20-2004	Hammond et al.				

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	T <sup>6</sup>		
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		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C1	Respondent Qualcomm Incorporated's Notice Of Prior Art, with Exhibits A & D attached thereto
	C2	Qualcomm's Response To Interrogatory 3, with Exhibits B & C attached thereto
	C3	INTENTIONALLY LEFT BLANK
	C4	INTENTIONALLY LEFT BLANK
	C5	"A New Chapter In Wireless Communication And Email", Electronic Messaging News, 11/27/1991, Vol. 3, No. 24
	C6	"A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Cognito Group Limited, Bates Nos. QBB569268-323
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	C8	"AMDC Picks Ericsson Gear", Communications Week, 06/12/1989, Vol. 252, p. 44
	C9	"An Effective Prioritization Scheme For Handovers In Cellular Networks", IEEE – ICUPC '92 Proceedings, 09/29/1992-10/02/1992, pp. 364-368

EXAMINER	DATE CONSIDERED	

of this form with next communication to applicant.

\*Applicant's unique citation designation number (optional) \*See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. \*Inter Office that issued the document, by the two-letter code (WIPO Standard ST.3) \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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	INFORMAT	ION DISCLO	SURF	Filing Date	July 31, 2003
			First Named Inventor	Ronald L. Mahany	
	STATEMEN	IT BY APPLIC	Group Art Unit	2687	
			Examiner Name	M. Santiago Cordero	
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Sheet	3	Of	29	Audiney Docker Number	144000002

		OTHER ART NON PATENT LITERATURE DOCUMENTS
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				Examiner Name	M. Santiago Cordero
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Sheet	4	Of	29	Altorney Docket Number	144000302

		OTHER ART NON PATENT LITERATURE DOCUMENTS
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	C32	"Change Request 05.02-11", Recommendation GSM 05.02, v. 3.3.0, 06/22/1989
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	C34	"Coded First To Jump On Mobitex Equipment Bandwagon; Sees Data Licenses As Worth As Much As Cellular", Industrial Communications, 06/09/1989, No. 22
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	C49	"Ericsson – Company Report: Prudential Securities Inc.", 03/19/1990, Investext Report No. 1001955, p. 10
	C50	"Ericsson - Company Report: Svenska International PLC', Ericsson Company Report, Svenska International PLC, Investext Report No. 1142535, 10/04/1991, p. 2
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	C52	"Ericsson And Digital Cooperate On Mobile Communications", Newsbyte News Network 10/08/91, Newsbytes, 10/08/1991

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	C70	"Ericsson GE Mobile Data And RAM Mobile Data Sign Agreements With GE Consumer Services", Business Wire, San Francisco, 05/09/1991, p. 1

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Substitute for	ubstitute for form 1449A/PTO			Application Number	10/631,071	
INFORMATION DISCLOSURE				Filing Date	July 31, 2003	
STATEMENT BY APPLICANT			First Named Inventor	Ronald L. Mahany		
			Group Art Unit	2687		
				Examiner Name	M. Santiago Cordero	
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				Examiner Name	M. Santiago Cordero
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First Named Inventor

Ronald L. Mahany

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	INFORMAT	ION DISCLO	Filing Date	July 31, 2003		
		IT BY APPLI	First Named Inventor	Ronald L. Mahany		
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			Examiner Name	M. Santiago Cordero		
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			First Named Inventor	Ronald L. Mahany		
	STATEMEN	IT BY APPLI	Group Art Unit	2687		
			Examiner Name	M. Santiago Cordero		
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	INFORMATI	ON DISCLOS	SURE	Filing Date	July 31, 2003
				First Named Inventor	Ronald L. Mahany
	STATEMENT BY APPLICANT			Group Art Unit	2687
				Examiner Name	M. Santiago Cordero
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				Complete if Known		
Substitute for form 1449A/PTO				Application Number	10/631,071	
	INFORMATI	ON DISCLOS	URE	Filing Date	July 31, 2003	
			First Named Inventor	Ronald L. Mahany		
	SIAIEMEN	T BY APPLIC	ANI	Group Art Unit	2687	
				Examiner Name	M. Santiago Cordero	
(use as many sheets as necessary))				Attorney Docket Number	14406US02	
Sheet	21	Of	29	Attorney Docket Number	144000302	

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	INFORMATI	ON DISCLO	SURF	Filing Date	July 31, 2003	
STATEMENT BY APPLICANT				First Named Inventor	Ronald L. Mahany	
	SIAIEMEN	I DI APPLI	SANI	Group Art Unit	2687	
				Examiner Name	M. Santiago Cordero	
(use as many sheets as necessary))				Attorney Docket Number	14406US02	
Sheet	23	Of	29	Attorney Docket Number	144000002	

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muais		number(s), publisher, city and/or country where published
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	SIAIEMEN	I BY APPLI	Group Art Unit	2687	
				Examiner Name	M. Santiago Cordero
(use as many sheets as necessary))			Attorney Docket Number	14406US02	
Sheet	24	Of	29	Additiey Docker Number	144000002

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	STATEMEN	VI BY APPLI	Group Art Unit	2687	
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	C416	INTENTIONALLY LEFT BLANK
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	C443	
	C444	Agere v. Broadcom 07/20/2004 Memorandum & Order, Bates Nos. BCMITC00000256573-667
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Substitute for	form 1449A/P10			Application Number	10/631,071
	INFORMATI	ON DISCLO	SURE	Filing Date	July 31, 2003
	STATEMENT BY APPLICANT			First Named Inventor	Ronald L. Mahany
	SIAIEMEN	I BY APPLI	Group Art Unit	2687	
			Examiner Name	M. Santiago Cordero	
(use as many sheets as necessary))			Attorney Docket Number	14406US02	
Sheet	28	Of	29	Allomey Docker Number	144000302

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Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
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